



2131

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PTO/SB/21 (08-00)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/050,648
		Filing Date	January 16, 2002
		First Named Inventor	Dave Hamilton
		Group Art Unit	2131
		Examiner Name	Unassigned
Total Number of Pages in This Submission	13	Attorney Docket Number	3992P004X

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Six Prior Art References RECEIVED APR 22 2002 Technology Center 2100</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric T. King, Reg. No. 44,188 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 10, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 10, 2002			
Typed or printed name	Susan McFarlane		
Signature		Date	April 10, 2002

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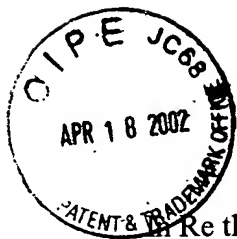
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PATENT & TRADEMARK OFFICE		Complete if Known	
FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Application Number	10/050,648
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Filing Date	January 16, 2002
TOTAL AMOUNT OF PAYMENT (\$) <u>4</u>		First Named Inventor	Dave Hamilton
		Examiner Name	Unassigned
		Group/Art Unit	2131
		Attorney Docket No.	3992P004X

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account		3. ADDITIONAL FEES																																	
Deposit Account Number: <u>02-2666</u> Deposit Account Name: <u>Blakely, Sokoloff, Taylor & Zafman LLP</u>																																			
The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of the application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account																																			
FEE CALCULATION																																			
1. BASIC FILING FEE																																			
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th></th><th></th></tr></thead><tbody><tr><td>101</td><td>740</td><td>201 370 Utility filing fee</td><td></td></tr><tr><td>106</td><td>330</td><td>206 165 Design filing fee</td><td></td></tr><tr><td>107</td><td>510</td><td>207 255 Plant filing fee</td><td></td></tr><tr><td>108</td><td>740</td><td>208 370 Reissue filing fee</td><td></td></tr><tr><td>114</td><td>160</td><td>214 80 Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1)</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)			101	740	201 370 Utility filing fee		106	330	206 165 Design filing fee		107	510	207 255 Plant filing fee		108	740	208 370 Reissue filing fee		114	160	214 80 Provisional filing fee		SUBTOTAL (1)					
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2. EXTRA CLAIM FEES																																			
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th></th><th></th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203 9 Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>84</td><td>202 42 Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>280</td><td>204 140 Multiple Dependent claim, if not paid</td><td></td></tr><tr><td>109</td><td>84</td><td>209 42 **Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>18</td><td>210 9 **Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="3">SUBTOTAL (2)</td><td></td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)			103	18	203 9 Claims in excess of 20		102	84	202 42 Independent claims in excess of 3		104	280	204 140 Multiple Dependent claim, if not paid		109	84	209 42 **Reissue independent claims over original patent		110	18	210 9 **Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					
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*or number previously paid, if greater. For Reissues, see below																																			
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		SUBTOTAL (3)																																	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Eric T. King	Registration No. (Attorney/Agent)	44,188
Signature		Telephone	(714) 557-3800
		Date	04/10/02

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44

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

DAVE HAMILTON, ET AL.

Application No.: 10/050,648

Filed: January 16, 2002

For: **System, Device, and Method for Providing
Secure Electronic Commerce Transactions**

Art Group: 2131

Examiner: Unassigned

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APR 22 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 10, 2002



Eric T. King, Reg. No. 44,188

12400 Wilshire Blvd., 7th Floor
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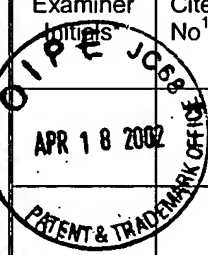
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Susan McFarlane

04/10/02

Date

Substitute for Form 1449A/PTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/050,648
				Filing Date	01/16/2002
				First Named Inventor:	Hamilton
				Group Art Unit	2131
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	003992P004X
OTHER ART – NO PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		TOM SHELDON, Encyclopedia of Networking, Electronic Edition, pgs. 324-329, Osborne/McGraw-Hill, 1998.			
		Line 6, Amp Farm, The Guitar Recording Plug-In for TDM-Equipped Pro Tools Systems, http://www.sigidesign.com/products/prd_overview.cfm?product_id=1001 & http://a396.g.akamai.net/7/396/1848/7473dc3aa964dc/media.digidesign.com/products/images,2001 .			
		Line 6, Amp Farm, The Guitar Recording Plug-In for TDM-Equipped Pro Tools Systems, http://www.digidesign.com/products/prd_specs.cfm?product_id=1001 , 2001.			
		e-commerce - a searchEBusiness definition, E-tailing or The Virtual Storefront and the Virtual Mall, http://searchebusiness.techtarget.com/sDefinition/0,,sid19_gci212029,00.html , 2001.			

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